

012304

13281

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

3029-101

First Inventor

Frank Duane Lortscher, Jr.

Title

SYSTEM AND METHOD FOR GENERATING TRANSACTION  
BASED RECOMMENDATIONSPTO  
10/162466

012304

 Applicant for Published Patent Application:

<b>APPLICATION ELEMENTS</b>		ADDRESS TO:	Assistant Commissioner of Patents Box Patent Application Washington, D.C. 20231
See MPEP chapter 600 concerning utility patent application contents.			
1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i> 2. <input checked="" type="checkbox"/> Applicant claims small entity status. 3. <input checked="" type="checkbox"/> Specification Total pages [ 73 ] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross references to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to sequence listing, a table or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings</li> <li>- Detailed Description</li> <li>- Claims</li> <li>- Abstract of the Disclosure</li> </ul> 4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Sheets) [ 16 ] 5. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages) [ 2 ]		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program 8. Nucleotide and/or Amino Acid Sequence Submission <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul>	
<b>ACCOMPANYING APPLICATION PARTS</b>			
9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i> <ul style="list-style-type: none"> <li><input type="checkbox"/> Power of Attorney</li> <li><input type="checkbox"/> English Translation Document <i>(if applicable)</i></li> <li><input type="checkbox"/> Information Disclosure Statement /PTO 1449</li> <li><input type="checkbox"/> Copies of IDS Citations</li> <li><input type="checkbox"/> Preliminary Amendment</li> <li><input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)  <i>(Should be specifically itemized)</i></li> <li><input type="checkbox"/> Certified Copy of Priority Document(s).</li> <li><input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i).</li> <li><input type="checkbox"/> Other:</li> </ul>			

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below or in an application Data Sheet under 37 CFR 1.76::

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.:

Prior application information: Examiner \_\_\_\_\_ Group/Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. Customer Number or Bar Code Label 6449

Address	Rothwell, Figg, Ernst & Manbeck Suite 800, 1425 K Street, N.W.				
City	Washington	State	D.C.	Zip Code	20005
Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031
Name	Brian A. Tollefson, Reg. No. 46,338				
Signature	<i>Brian A. Tollefson</i>			Date January 23, 2004	

13281  
012304  
U.S. PTO

**FEE TRANSMITTAL**  
for FY 2003  
(Small Entity)

Complete if Known			
Application Number		New	
Filing Date		January 23, 2004	
First Named Inventor		Frank D. Lortscher, Jr.	
Examiner Name		Unassigned	
Group Art Unit		Unassigned	
Total Amount of Payment	(\$910.00)	Attorney Docket Number	3029-101

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck

Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.

2.  Payment Enclosed:

Check

Credit Card

**FEE CALCULATION** (continued)

3. ADDITIONAL FEES

Fee Code	Fee Paid	Fee Description	Fee Paid
2051	65	Surcharge - late filing fee or oath	[ ]
2052	25	Surcharge - late provisional filing fee or cover sheet	[ ]
1053	130	Non-English specification	[ ]
1812	2,520	For filing a request for reexamination	[ ]
1804	920	Requesting publication of SIR prior to Examiner action	[ ]
1805	1,840*	Requesting publication of SIR after Examiner action	[ ]
2251	55	Extension for reply within first month	[ ]
2252	210	Extension for reply within second month	[ ]
2253	475	Extension for reply within third month	[ ]
2254	740	Extension for reply within fourth month	[ ]
2255	1,005	Extension for reply within fifth month	[ ]
2401	165	Notice of Appeal	[ ]
2402	165	Filing a brief in support of an appeal	[ ]
2403	145	Request for Oral Hearing	[ ]
1451	1,510	Petition to institute a public use proceeding	[ ]
2452	55	Petition to revive -unavoidable	[ ]
2453	475	Petition to revive - unintentional	[ ]
2501	665	Utility issue fee (or reissue)	[ ]
2502	240	Design issue fee	[ ]
2503	320	Plant issue fee	[ ]
1460	130	Petitions to the Commissioner	[ ]
1807	50	Processing fee under 37 CFR 1.17(q)	[ ]
1806	180	Submission of Information Disclosure Statement	[ ]
8021	40	Recording each patent assignment per property (times number of properties)	[ ]
2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	[ ]
2810	385	For each additional invention to be examined (37 CFR 1.129(b))	[ ]
2801	385	Request for Continued Examination (RCE)	[ ]
1802	900	Request for expedited examination of a design application	[ ]
1504	300	Publication fee for early, voluntary, or normal publication	[ ]
1505	300	Publication fee for republication	[ ]
1455	200	Filing an application for patent term adjustment	[ ]
1456	400	Request for reinstatement of term reduced	[ ]
		Other fee (specify)	[ ]

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL** \$910.00

SUBMITTED BY			Complete (if applicable)		
NAME AND REG. NUMBER	Brian A. Tollefson, Reg. No. 46,338				
SIGNATURE		DATE	January 23, 2004	DEPOSIT ACCOUNT USER ID	02-2135